

# DECLARATION

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled CORRECTION OF GENETIC DEFECTS USING CHEMICAL CHAPERONES, the specification of which was filed on April 13, 1999 as Application No. 09/291,406.

I have reviewed and understand the contents of the above-identified specification, including the claims. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Country	Application No.	Date of Filing	Priority Claimed Under 35 USC 119

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:


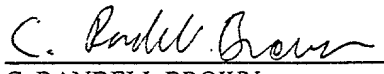
Application No.	Filing Date
60/015,155	April 10, 1996

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status
08/838,691	April 9, 1997	Issued on May, 4, 1999 as U.S. Patent No. 5,900,360



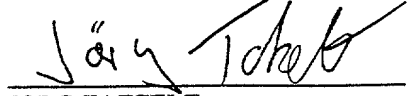
Full Name of Inventor 1:	Last Name: <b>WELCH</b>	First Name: <b>WILLIAM</b>	Middle Name or Initial: <b>J.</b>
Residence & Citizenship:	City: <b>San Francisco</b>	State/Foreign Country: <b>California</b>	Country of Citizenship: <b>United States</b>
Post Office Address:	Post Office Address: <b>48 Fountain</b>	City: <b>San Francisco</b>	State/Country: <b>California</b> Postal Code: <b>94114</b>
Full Name of Inventor 2:	Last Name: <b>BROWN</b>	First Name: <b>C.</b>	Middle Name or Initial: <b>RANDELL</b>
Residence & Citizenship:	City: <b>Hershey</b>	State/Foreign Country: <b>Pennsylvania</b>	Country of Citizenship: <b>United States</b>
Post Office Address:	Post Office Address: <b>Penn State Geiginger Health Center, 500 University Dr.</b>	City: <b>Hershey</b>	State/Country: <b>Pennsylvania</b> Postal Code: <b>17033</b>
Full Name of Inventor 3:	Last Name: <b>TATZELT</b>	First Name: <b>JÖRG</b>	Middle Name or Initial:
Residence & Citizenship:	City: <b>München</b>	State/Foreign Country: <b>Germany</b>	Country of Citizenship: <b>Germany</b>

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1  WILLIAM J. WELCH	Signature of Inventor 2  C. RANDELL BROWN	Signature of Inventor 3  JORG TATZELT
Date <i>Aug 25, 1999</i>	Date <i>Aug 27, 1999</i>	Date

SF 211232 v1

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1	Signature of Inventor 2	Signature of Inventor 3
		
WILLIAM J. WELCH	C. RANDELL BROWN	JORG TATZELT
Date	Date	Date May 23, 1999

SF 211232 v1

Applicant or Patentee: William J. Welch et al. Docket No. 02307E-065020US  
Serial or Patent No.: 09/29,406 U. C. a No. 96-155-3  
Filed or Issued: April 13, 1999  
For: Correction of Genetic Defects Using Chemical Chaperones.

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
[37 CFR § 1.9(f) and § 1.27(d)]--NONPROFIT ORGANIZATION

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: The Regents of the University of California  
ADDRESS OF ORGANIZATION: 1111 Franklin Street, 12<sup>th</sup> Floor  
Oakland, California 94607-5200

TYPE OF ORGANIZATION

- ☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION  
☒ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE [26 USC § 501(a) and § 601(c) (3)]  
☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OR THE UNITED STATES OF AMERICA  
(NAME OF STATE \_\_\_\_\_)  
(CITATION OF STATUTE \_\_\_\_\_)  
☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE [26 USC § 501(a) and § 501(c) (3)] IF  
LOCATED IN THE UNITED STATES OF AMERICA  
☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES  
OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA  
(NAME OF STATE \_\_\_\_\_)  
(CITATION OF STATUTE \_\_\_\_\_)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR § 1.9(e) for purposes of paying reduced fees under section 41(a) or (b) of Title 35, United States Code with regard to the invention entitled Correction of Genetic Defects Using Chemical Chaperones  
by inventor(s) William J. Welch, C. Randell Brown, and Jorg Tatzelt described in

- ☐ the specification filed herewith  
☒ application serial no. 09/291,406, filed April 13, 1999  
☐ Patent no. \_\_\_\_\_, issued \_\_\_\_\_

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern, or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR § 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR § 1.9(d) or a nonprofit organization under 37 CFR § 1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR § 1.27).

NAME N/A  
ADDRESS \_\_\_\_\_  
[ ] INDIVIDUAL [ ] SMALL BUSINESS CONCERN [ ] NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. [37 CFR § 1.28(b)]

I hereby declare that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine of imprisonment, or both, under 18 USC § 1001, and may jeopardize the validity of the application, any patent issuing thereon, or any patent which this verified statement is directed.

NAME OF PERSON SIGNING: Linda S. Stevenson  
TITLE IN ORGANIZATION: Principal Prosecution Analyst, Office of Technology Transfer  
ADDRESS OF PERSON SIGNING: 1111 Franklin Street, 5<sup>th</sup> Floor  
Oakland, California 94607-5200

SIGNATURE Linda S. Stevenson DATE March 22, 2000

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231

COPY

on

By

PATENT

Attorney Docket No. 02307E-065020US  
UC Case No. 96-155-3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Examiner: L. Leary

William J. Welch *et al.*

Art Unit: 1623

Serial No.: 09/291,406

Filed: April 13, 1999

For: CORRECTION OF GENETIC  
DEFECTS USING CHEMICAL  
CHAPERONES

**POWER OF ATTORNEY BY ASSIGNEE  
AND EXCLUSION OF INVENTOR(S) UNDER 37 CFR § 3.71**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The undersigned assignee of the entire interest in the above-identified subject application hereby appoints

Hector A. Alicea, Reg. No. 40,891  
Randolph T. Apple, Reg. No. 36,429  
Kevin L. Bastian, Reg. No. 34,774  
Guy Chambers, Reg. No. 30,617  
Karen B. Dow, Reg. No. 29,684

M. Henry Heines, Reg. No. 28,219  
Laurence J. Hyman, Reg. No. 35,551  
Jeffrey J. King, Reg. No. 38,515

Joe Liebeschuetz, Reg. No. 37,505  
Jeffrey S. Mann, Reg. No. 42,837  
Annette S. Parent, Reg. No. 42,058  
Steven W. Parmelee, Reg. No. 31,990  
Brian W. Poor, Reg. No. 32,928

Timothy L. Smith, Reg. No. 35,367  
William M. Smith, Reg. No. 30,223  
Joseph P. Snyder, Reg. No. 39,381  
John R. Storella, Reg. No. 32,944  
Eugenia Garrett-Wackowski, Reg. No. 37,330  
Ellen Lauver Weber, Reg. No. 32,762  
Kenneth A. Weber, Reg. No. 31,667

all of the firm of Townsend and Townsend and Crew LLP, as its attorneys to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with 37 CFR § 3.71.

An assignment of the entire interest in the above-identified subject application:

☐ was recorded on \_\_\_\_\_ at reel/frame  
\_\_\_\_\_/\_\_\_\_\_.

☒ is being concurrently submitted under separate cover to Attn: Box

Assignments for recording, a copy not for recordation is enclosed.

Please direct all telephone calls to Hector A. Alicea and all correspondence relative to said application to the following address:

Townsend and Townsend and Crew LLP  
Two Embarcadero Center, 8th Floor  
San Francisco, California 94111-3834

ASSIGNEE: THE REGENTS OF THE  
UNIVERSITY OF CALIFORNIA

Signature: *Linda S. Stevenson*  
Typed Name: Linda S. Stevenson  
Title: Principal Prosecution Analyst  
Office of Technology Transfer  
1111 Franklin Street, 12th Floor  
Oakland, CA 94607-5200

Dated: March 22, 2000

rev. 3/30/99  
SF 151310 v1  
SF 211234 v1

FILED 2000 MAR 22 PM 4:00

SF 150574 v1  
SF 1025721 v1





